



Application

Dual Part Bond Promotion — SDX Trading AG

Applicant Details

Company Name:

Street:

No.:

City:

ZIP code:

Country:

Promotion Details

The Applicant is a participant to SDX Trading AG. The Applicant and SDX Trading AG have agreed on the services to be rendered by SDX Trading AG in the “Application Form for SDX Trading AG Participation” including all its rules and regulations such as the “List of Charges under the Listing and Trading Rules”.

During an initial, limited period, SDX Trading AG offers all its client the possibility to participate in the “Dual Part Bond Promotion” of SDX Trading AG which provides the Applicant with specific benefits from the “List of Charges under the Listing and Trading Rules”. By signing this Application, the Applicant confirms participation in that promotion.

The promotion will be started with the first asset issued on SDX Trading AG and will automatically terminate after six (6) months.

During this period, if a bond is issued in a dual part structure whereby one part is issued/listed at SDX Trading AG and the other part is issued/listed at SIX Swiss Exchange AG, the following fees are waived completely:

- Fixed listing fee of CHF 2,000 according to §1.4.1 of the “List of Charges under the Listing and Trading Rules”
- Fee of CHF 10,000 for “new issuer” according to §1.4.3 of the “List of Charges under the Listing and Trading Rules”

Participation in the promotion may be terminated by the participant in writing via email to SDX, subject to a notice period of (7) seven days, effective at the end of a month.

Place and Date

1. Name and valid signature of User

Important Information

Please send this form duly signed
by e-mail to: sales@sdx.com

2. Name and valid signature of User