

SDX Trading message no. 3/2023

Title	Adjustments of Trading and Reporting Regulation due to partial revision of FinMIO-FINMA
Category	Trading and Products
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Content of this notification	Adjustments of Trading and Reporting Regulation due to partial revision of FinMIO-FINMA

FINMA partially revised FinMIO-FINMA on 1 February 2023. The changes will be implemented in the Trading and Reporting Regulations of SDX Trading AG (SDX) on 1 August 2023. The amendments mainly specify the information to be reported in connection with reportable derivatives transactions, in particular that:

- in the case of derivative transactions, the type of the underlying instrument as well as other defining characteristics, such as the classification of the derivative in question, must be reported;
- for derivative transactions, depending on the classification of the derivative, other value-determining parameters must be reported, namely whether the derivative is a call or put option, the strike price, the multiplier and the expiration date;
- the value date is no longer part of the minimum reportable content.

Pursuant to Article 50b(1) FinMIO-FINMA, the reporting obligation must be fulfilled by the reporting parties by 1 May 2024 at the latest.

Entry into force

The revised trading regulations of SDX will enter into force on 1 August 2023 and are published on the [SDX website](#) and on the [SIX Exchange Regulation AG website](#).

SDX is constantly striving to expand and improve its service and product offerings.

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